### SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|----------------------------------------|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burder hours per response: 0.5

|                                                   |              |          | Seres Therapeutics, Inc. [ MCRB ]     (Check all applicable)       X     Director     10% Owner       3. Date of Earliest Transaction (Month/Day/Year)     Officer (give title below)     Other (specify below) |   |                                                |                  |  |  |  |  |
|---------------------------------------------------|--------------|----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------------------------------------------|------------------|--|--|--|--|
| 1. Name and Addre                                 |              | Person*  |                                                                                                                                                                                                                 |   |                                                |                  |  |  |  |  |
| Berenson Ste                                      | <u>epnen</u> |          |                                                                                                                                                                                                                 | X | Director                                       | 10% Owner        |  |  |  |  |
|                                                   |              |          | —                                                                                                                                                                                                               | _ |                                                |                  |  |  |  |  |
| (Last)                                            | (First)      | (Middle) |                                                                                                                                                                                                                 |   | below)                                         | below)           |  |  |  |  |
| C/O SERES TH                                      | IERAPEUTIC   | CS, INC. | 00/03/2019                                                                                                                                                                                                      |   |                                                |                  |  |  |  |  |
| C/O SERES THERAPEUTICS, INC.<br>200 SIDNEY STREET |              |          |                                                                                                                                                                                                                 | _ |                                                |                  |  |  |  |  |
|                                                   |              |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                                                                                                                                        |   | /idual or Joint/Group Filing (Check Applicable |                  |  |  |  |  |
| (Street)                                          |              |          |                                                                                                                                                                                                                 | X | Form filed by One Re                           | porting Person   |  |  |  |  |
| CAMBRIDGE                                         | MA           | 02139    | _                                                                                                                                                                                                               |   | ,                                              | an One Reporting |  |  |  |  |
| (City)                                            | (State)      | (Zip)    |                                                                                                                                                                                                                 |   |                                                |                  |  |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | Disposed Of (D) (Instr. 3, 4 and 5) |               |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--------------------------------------------|-------------------------------------------------------------|------------------------------|---|-------------------------------------|---------------|-------|---------------------------------------------------------------|---------------------------------------------------|-----------------------------------------------------|
|                                 |                                            |                                                             | Code                         | v | Amount                              | (A) or<br>(D) | Price | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |                                                   | (Instr. 4)                                          |

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of     |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|------------------------------|---|--------|-----|----------------------------------------------------------------|--------------------|-----------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|--------------------------------------------------------------------|
|                                                     |                                                                       |                                            |                                                             | Code                         | v | (A)    | (D) | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                                                         | Amount<br>or<br>Number<br>of<br>Shares |                                                     |                                                                                                                            |                                                                          |                                                                    |
| Stock<br>Option<br>(Right to<br>Buy)                | \$2.44                                                                | 08/05/2019                                 |                                                             | A                            |   | 30,000 |     | (1)                                                            | 08/04/2029         | Common<br>Stock                                                                               | 30,000                                 | \$0.00                                              | 30,000                                                                                                                     | D                                                                        |                                                                    |

**Explanation of Responses:** 

1. The option will vest in four equal installments on each anniversary of August 5, 2019 or in full immediately prior to the occurrence of a change in control.

Remarks:

### /s/ Thomas J. DesRosier,

08/06/2019

attorney-in-fact for Stephen 08 Berenson

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.