| SEC For | m 4 | | | | | | | | | | | | | | | | |
|---|---|--|---|-----------------------------------|--|--|------|---|--------|--------------------|--|--------------------------|---|---|--------------------------------------|--|---|
| | FORM | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | | | | | OMB APPROVAL | | |
| Chask | this have if you b | | | NT OF CHANGES IN BENEFICIAL OWNER | | | | | | | | шр | ОМВ | OMB Number: 3235-0287 | | | |
| Section 16. Form 4 or Form 5 | | | | | | | | | | | | | | | Estimated average burden | | |
| obligations may continue. See Instruction 1(b). | | | | Filed p | led pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | hours | hours per response: | | 0.5 |
| | | | | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | | | | f Reporting | | on(s) to lesu | er 1 |
| 1. Name and Address of Reporting Person [*] <u>DesRosier Thomas</u> | | | | | Seres Therapeutics, Inc. [MCRB] | | | | | | | | eck all applica | | , | | |
| | | | | | | | | | | | | | Director | | 10% Owner ve title Other (specify | | |
| | | | | | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | | | | below) | give title | | below) | pecity |
| (Last) (First) (Middle) | | | | | | 02/04/2022 | | | | | | | | f Legal C | Office | r and EVP | |
| C/O SERES THERAPEUTICS, INC. | | | | | | | | | | | | | | | | | |
| 200 SIDNEY STREET | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | dividual or 10 | nint/Group | Filing | (Check Ann | licable |
| (Street) | | | | | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | |
| CAMBRIDGE MA 02139 | | | | | | | | | | | | | | | • | rting Person | |
| | | | | | | | | | | | | | Form filed by More than One Reporting Person | | | | ting |
| (City) (State) (Zip) | | | | | | | | | | | | | | | | | |
| | | Та | ble I - Non-D | erivati | ive Se | ecuritie | s Ac | quired, | Dis | posed o | of, or Be | neficiall | y Owned | | | | |
| Date | | | | Transacti | | | | | | | urities Acquired (A) | | | | | | 7. Nature of |
| | | | | ite onth/Day | /Year) | Execution Date if any (Month/Day/Yea | | Code (| | | | str. 3, 4 and | Beneficia | lly | Form: Di (D) or Ind | Indirect | Indirect Beneficial Ownership (Instr. 4) |
| | | | | | | | | ar) 8) | - | | | | Owned Fe Reported | · · | (I) (In: | | |
| | | | | | | | Code | v | Amount | (A) o (D) | r Price | Transacti (Instr. 3 a | | | | | |
| | | | Table II - De | rivativ | e Sec | urities | Acq | uired, D | isp | osed of, | , or Ben | eficially | Owned | | | | |
| | | | (e. | g., put | s, cal | ls, warr | ants | s, optior | ıs, c | converti | ble secu | urities) | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Yea | Code | action (Instr. | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisabl Expiration Date (Month/Day/Year) | | e | d 7. Title and Amo of Securities Underlying Derivative Secu (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securitie Beneficia Owned Following Reported Transacti | e s ally g | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) |
| | | | | | | | (D) | | | | | Amount or | | (Instr. 4) | (0) | | |
| | | | | Code | v | (A) | | Date Exercisab | | Expiration Date | Title | Number of Shares | | | | | |
| Stock Option (Right to Buy) | \$7.38 | 02/04/2022 | | A | | 130,000 | | (1) | (| 02/03/2032 | Common Stock | 130,000 | \$0.00 | 130,0 | 00 | D | |

Explanation of Responses:

1. The option will vest as to 25% of the shares on February 4, 2023. The remainder of the shares will vest in 12 equal quarterly installments thereafter.

Remarks:

/s/ Thomas J. DesRosier

** Signature of Reporting Person

02/08/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.