SEC Form 4	
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average burden	
hours per response:	0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			or Se	ction 30(h) of the In	vestment Con	npany Act of 19	940				
1. Name and Address of Reporting Person <sup>*</sup> Ege David S.				er Name <b>and</b> Ticker <u>Therapeutics</u>				tionship of Reporting all applicable) Director	10% C	wner	
(Last) C/O SERES THE	(First) ERAPEUTICS, IN	(Middle) C.	3. Date 10/27/	of Earliest Transac 2023	tion (Month/Da	ay/Year)		X	Officer (give title below) See R	Other below) emarks	(specify
200 SIDNEY STREET				endment, Date of C	Driginal Filed (I	Month/Day/Yea	6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(Street) CAMBRIDGE	MA	02139	Rule	10b5-1(c) T	ransactio	on Indicati					
(City)	(State)	(Zip)		leck this box to indicat irmative defense conc					nstruction or written pla	n that is intended to	o satisfy the
		Table I - Non	n-Derivative S	Securities Acq	uired, Disj	oosed of, o	r Bene	ficially O	wned		
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities A Disposed Of (I			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

			(Monul/Day/fear) 8)					Reported	(I) (IIISU: 4)	(Instr. 4)	
				Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
	Common Stock	10/27/2023		<b>M</b> <sup>(1)</sup>		11,361	A	\$0.00	71,057	D	
6	Common Stock	10/30/2023		<b>S</b> <sup>(2)</sup>		4,066	D	\$1.35 <sup>(3)</sup>	66,991	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (	Transaction Derivative Code (Instr. Securities Acquired		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and		tion Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and		ative Expiration Date ities Acquired (Month/Day/Year) Disposed of		Expiration Date		Expiration Date (Month/Day/Year)		xpiration Date of Month/Day/Year) Ui De		n Date of Securities ay/Year) Underlying		Expiration Date (Month/Day/Year)		Underlying Derivative Security		f Securities Inderlying Perivative Security		of Securities Underlying Derivative Security		es Derivative g Security Security (Instr. 5)		e derivative Securities Beneficially Owned	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)																								
Restricted Stock Units	(4)	04/27/2023		A		22,721 <sup>(1)</sup>		(1)	(4)	Common Stock	22,721	\$0.00	22,721	D																							
Restricted Stock Units	(4)	10/27/2023		М			11,361 <sup>(1)</sup>	(1)	(4)	Common Stock	11,361	\$0.00	11,360	D																							

#### Explanation of Responses:

1. On February 3, 2023, the reporting person was granted an award of 22,721 RSUs, which vest in the form of shares of the Issuer's common stock in two substantially equal installments upon the satisfaction of certain performance criteria. On April 27, 2023 (the "Initial Milestone Date"), the Issuer's Administrator determined that the performance criteria for the first installment were met, resulting in the vesting and settlement of the award as to 11,361 RSUs on October 27, 2023, the date that was six months from the Initial Milestone Date. The remaining 11,360 RSUs shall vest on October 27, 2024, the date that is eighteen months from the Initial Milestone Date.

2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 instruction adopted by the reporting person on March 17, 2023, solely with the intent to cover taxes in connection with the vesting of the RSUs.

3. The price reported in column 4 is a weighted average of the price. These shares were sold in multiple transactions at prices ranging from \$1.3425 to \$1.405, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

4. Each RSU represents a contingent right to receive one share of Issuer common stock. The RSUs do not have an expiration date.

#### Remarks:

Executive Vice President and Chief Technology Officer

### <u>/s/ Thomas J. DesRosier,</u>

 Attorney-in-fact for David S. Ege
 10/31/2023

 \*\* Signature of Reporting Person
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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